

AGENDA ITEM: CLSA Statewide Data Base Program

ISSUES TO COME BEFORE THE BOARD AT THIS MEETING: Consideration of 2000/01 funds for grants to enrich or enhance catalog records.

RECOMMENDED MOTION FOR CONSIDERATION BY THE BOARD: I move that the Library of California Board direct its Chief Executive Officer to use up to \$148,140 of the remaining funds from the 2000/2001 CLSA Statewide Data Base allocation for grants to public libraries for enrichment or enhancement of catalog records.

FUNDING RECOMMENDATION:

Staff estimates that \$145,140 - \$148,140 of the \$275,000 allocated for Statewide Data Base subsidies and retrospective conversion grants will not be awarded (see below in “General Overall Program Updates).

Staff recommends that the remaining allocated funds be used for grants to public libraries to enhance or enrich their catalog records by adding additional information to the record that will increase access to and information about the material represented by the catalog record. The additional information may include, for example:

- Tables of contents
- Book summaries
- Author notes
- Fiction and Biography profiles
- Book reviews
- Book cover images
- Reauthorizing the catalog database (main entries, subject headings)

As more public library patrons become accustomed to searching reference and full-text databases at their local library, as well as using bibliographic access tools on the Internet such as Web-based bookstores, the limited amount of traditional descriptive information in many public library catalogs is becoming more obvious to those libraries’ users. These patrons are expecting more descriptive information about a book before requesting it, especially if they are accessing the online library catalog from a remote site. As a result, libraries are being pressed to enhance online catalog access to their book collections by providing additional descriptive and visual information.

Staff recommends that the remaining CLSA Statewide Data Base allocation of \$\$145,140 - \$148.140 be used for grants to public libraries for catalog enrichment.

These will be matching grants (50% CLSA, 50% local) of up to \$10,000 of CLSA funds per library. Staff will look at various priorities for awarding grants, depending on the number of applications received. Priorities may include:

- Library records may be available via Z39.50 either by direct Internet access to the local server or via a CLSA/LoC supported regional gateway server.
- The library must participate in the CLSA Interlibrary Loan program
- Awards are one-per-database if the catalog database is shared by more than one library.
- Awards are based on the ratio of loans to borrows.

If the Board approves this grant program, staff will begin drafting application and guideline materials as soon as possible in order to provide adequate time for preparation of grant proposals, awarding of grants, and grant recipients' expenditure of grant funds with the current fiscal year. A minimum of fifteen public libraries could receive catalog enrichment grants with the available CLSA funds.

GENERAL OVERALL PROGRAM UPDATES:

CURRENT STATUS: The CLSA Statewide Data Base Program continues to support resource sharing throughout the State.

2000/2001 subsidy and one-time grants:

At its June 2000 meeting the Board approved the allocation of 2000/2001 funds for payment of CLSA Statewide Data Base annual subsidies in the amounts of \$65, \$500, and \$5,000, as recommended in the implementation plan. Shortly thereafter staff mailed subsidy claim information to California public libraries and CLSA System Coordinators with a deadline of August 31, 2000 to return the claims to the State Library.

The total amounts claimed in the three subsidy categories are:

	1998/1999		1999/2000		2000/01	
Public libraries qualified for \$65 subsidy	66	\$4,290	58	\$3,770	44	\$2,860
Public libraries qualified for \$500 subsidy	60	\$30,000	67	\$33,500	76	\$38,000
CLSA Systems qualified for \$5,000 subsidy	8	\$40,000	9	\$45,000	11	\$55,000
Total subsidy claims	134	\$74,290	134	\$82,270	131	\$96,860

Staff tested the accessibility of the catalog of every library that applied for the Z39.50 subsidy. Six libraries' catalogs were found to be inaccessible and were notified that the subsidy would not be awarded based on the information that was submitted in their claim. However, those libraries were advised that if they believed their catalog *was* accessible via Z39.50, then they should contact the State Library immediately to resolve the problem and successfully claim their subsidy.

The Board further approved (June, 2000) that the remaining Statewide Data Base funds not required for annual subsidy reimbursement be used for targeted one-time grants of \$10,000 for retrospective conversion of the catalog records of California public libraries to machine readable form, so that those records can be made accessible for resource sharing.

Exhibit A contains a chart illustrating the disposition of the Data Base funds in FY 2000/01.

At its June 2000 meeting the Board suggested that it would be useful to know how many public libraries were still interested in applying for a CLSA retrospective conversion grant and how many had completely converted their catalogs into electronic format and would no longer be interested in receiving grant announcements. Therefore, staff included a short survey form in the 2000/2001 CLSA retrospective conversion grant announcements sent to California's public libraries in July. The survey asked:

1. The percentage of the library's collection that had been converted
2. If the library would be interested in applying for a retrospective conversion grant at some time in the future
3. If the library wished to continue receiving the grant announcements

The results of the survey were:

	Number	% of CA Public Libraries.
Libraries that responded to the survey	102	57%
Collection 100% converted	67	37%
Interested in applying for grant some time in future	14	9%
Continue receiving retrospective conversion grant announcements	36	20%

A list of libraries responding to the survey is included as Exhibit B.

After the grant announcement and survey had been sent, two applications were submitted. After the results of the survey were compiled, staff contacted those libraries who indicated they were still interested in applying for a retrospective conversion grant application to see if they were interested in doing so during the current fiscal year (2000/2001). One library replied in the affirmative and submitted an application.

A total of \$126,860 has been awarded for Statewide Data Base subsidies and retrospective conversion grants, leaving a balance of \$148,140 in the original \$275,000 allocation approved by the Board. Staff believes that with the survey and the additional contacts to potential applicants, there is little chance that additional retrospective conversion grant applications will be received, especially considering the amount of time a conversion project can require, coupled with the necessity of expending all grant funds before June 30, 2001. Up to an additional \$3,000 may be awarded for Z39.50 subsidies, if those libraries who were denied subsidies can show that in fact their catalogs are accessible. Therefore, staff estimates that \$145,140 - \$148,140 of the \$275,000 allocated for Statewide Data Base subsidies and retrospective conversion grants will not be awarded.

Contributions to OCLC

Contributions of records and holdings made to OCLC under a variety of contractual agreements with California public libraries will be provided at the February 2001 meeting.

RELATED ISSUES TO COME BEFORE THE BOARD IN THE FUTURE:

1. Update on targeted one-time grant awards.
2. Update on OCLC California public library holdings.

Relevant Committee: Support Services
Staff Liaison: Tom Andersen